



PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/757,957
Filing Date	January 13, 2004
First Named Inventor	Yutaka Takata
Art Unit	2188
Examiner Name	Mano Padmanabhan
Attorney Docket Number	16869K-103300US

**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO forms SB08A & B (2 pages), copies of three cited references, Return Postcard |
|---|---|---|

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Chun-Pok Leung		
Date	7-14-05	Reg. No.	41,405

**CERTIFICATE OF TRANSMISSION/MAILING**

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PATENT  
Attorney Docket No.: 16869K-103300US  
Client Reference No.: 639/SM/mt

On

July 15, 2005

TOWNSEND and TOWNSEND and CREW LLP

By:

Robert Hylton

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Yutaka TAKATA, et al.

Application No.: 10/757,957

Filed: January 13, 2004

For: METHOD OF CONTROLLING  
STORAGE DEVICE CONTROLLING  
APPARATUS, AND STORAGE  
DEVICE CONTROLLING APPARATUS

Examiner: Mano Padmanabhan

Art Unit: 2188

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

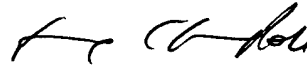
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the cited non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

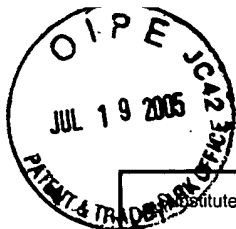
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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RL:rnh  
60537675 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/757,957	
			Filing Date	January 13, 2004	
			First Named Inventor	Yutaka Takata	
			Art Unit	2188	
Sheet	1	of	2	Examiner Name	Mano Padmanabhan
				Attorney Docket Number	16869K-103300US

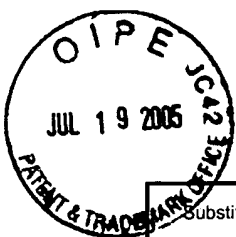
U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	2004/0210713	10-21-2004	Kanai	
	2	2004/0172509	09-02-2004	Takeda et al.	
	3	2004/0172510	09-02-2004	Nagashima et al.	
	4	2004/0153740	08-05-2004	Fujimoto	
	5	6,772,306	08-03-2004	Suzuki et al.	
	6	2004/0148329	07-29-2004	Ogasawara et al.	
	7	2004/0143688	07-22-2004	Sugimoto	
	8	2004/0133752	07-08-2004	Suzuki et al.	
	9	2004/0123026	06-24-2004	Kaneko	
	10	2004/0103257	05-27-2004	Watanabe et al.	
	11	2004/0088507	05-06-2004	Satoyama et al.	
	12	2004/0030730	02-12-2004	Arai et al.	
	13	2003/0221077	11-27-2003	Ohno et al.	
	14	2003/0196037	10-16-2003	Obara et al.	
	15	6,609,180	08-19-2003	Sanada et al.	
	16	6,311,252	10-30-2001	Raz	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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60537675 v1



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Sheet	2	of	2	Attorney Docket Number	16869K-103300US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	17	ANDERSON et al. "An Application-Aware Data Storage Model," Proceedings of the 1999 USENIX Annual Technical Conference Monterey, CA (1999).	
	18	HARTUG "IBM TotalStorage Enterprise Storage Server: A Designer's View," IBM Systems Journal 42:383-396 (2003).	
	19	HSIAO et al. "A Performance Study of Three High Availability Data Replication Strategies," Proceedings of the First International Conference on Parallel and Distributed Information Systems Miami, FL (1991).	

Examiner Signature		Date Considered	
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